

# Multi-type modal extensions of the Lambek calculus for structural control

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In the tradition of ‘parsing as deduction’, various logical calculi have been considered for applications in formal linguistics. A lively strand of research focuses on the analysis of logical systems specifically designed to model a controlled linguistic resource management [20, 16, 21, 22, 11, 1, 28]. Research on the so-called *structural control* (in combination with various modal and substructural logics) is also motivated by applications in other domains and has given rise to a rich literature in logic (see [7, 10, 12, 5, 27]).

Lambek’s Syntactic Calculus [17, 18] is an early representative of substructural logic. The original Lambek calculus lacks the required expressivity to serve as a tool for realistic grammar development. The extended Lambek calculi introduced in the 1990ies enrich the type language with modalities for structural control. These modalities have found two distinct uses [16]. On the one hand, modalities can act as *licenses*, granting the applicability of so-called *structural rules* that by default would not be permitted. On the other hand, modalities can be used to *block* structural rules that otherwise would be available.

Examples of modalities as licensors relate to various aspects of grammatical resource management: multiplicity, order and structure. As for multiplicity, under the control of modalities limited forms of copying can be introduced in grammar logics that overall are resource-sensitive systems, see [26, 25, 13, 19] for some recent examples. As for order and structure, modalities may be used to license changes of word order and/or constituent structure that leave the form-meaning correspondence intact, as illustrated e.g. in [24, 3].

An example of the complementary use of modalities as blocking devices can be found in [14, 15]. The authors propose a modally-extended type language designed to simultaneously account for function-argument structure and *dependency structure*. For function-argument structure the key opposition is between a function type  $A/B$  (or  $B\backslash A$ ) that combines with its argument  $B$  to produce an  $A$ . Dependency structures [4] on the other hand are based on the opposition between a *head* and its *dependents*; these dependents can either be *complements* selected by the head, or *adjuncts* modifying the head. In the phrase “Alice left unexpectedly”, for example, the verb “left” is the head selecting for “Alice” as a complement with the *subject* role; “unexpectedly” is an adjunct modifying the head. To capture these dependency relations, [14, 15] refine the Lambek types  $NP\backslash S$  and  $S\backslash S$  for “left” and “unexpectedly” to  $(\diamond^{\text{su}}NP)\backslash S$  and  $\square^{\text{adv}}(S\backslash S)$ . In general,  $(\diamond^c A)\backslash B$  is the type for a head selecting an  $A$  complement with dependency role  $c$ , and  $\square^m(A\backslash B)$  for an adjunct with dependency role  $m$  modifying a head  $A$ . The dependency modalities do not come with structural rules, but they have the effect of sealing off a structure consisting of a head together with its dependents as a *domain of locality*.

In this talk, we reconsider the licensing of structural rules in the light of the locality domains induced by the dependency-enhanced type language. To put the discussion in perspective, we introduce the class of multi-type logics for explicit structural control management together with their algebraic semantics, and provide proper display calculi for the basic logics and their extensions via axioms of a specific syntactic shape (the so-called *analytic-inductive axioms* [9]) in a modular fashion (e.g. preserving completeness, subformula property and cut elimination) according to the general methodology emerged in the field of structural and algebraic proof theory [2, 6, 8, 9]; in particular, all the logics considered in

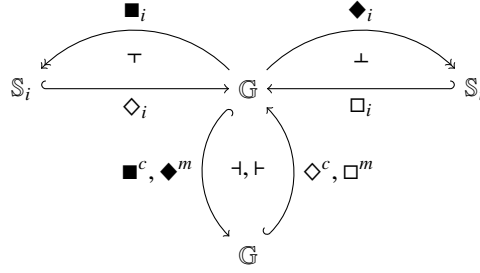
[23, 14, 15] and related work, when recast as mSCLs, can profit from the pleasant proof-theoretic and model theoretic benefits that the multi-type approach brings with it.

For each  $i \in I$ , a *heterogeneous structural control algebra* is a structure

$$\mathbb{H} := (G, S_i, \diamond_i, \blacksquare_i, \square_i, \blacklozenge_i, \mathcal{F}, \mathcal{G}, \leq_G, \leq_{S_i})$$

such that

- $\mathbb{G} := (G, \leq_G, \mathcal{F}, \mathcal{G})$  is a partially ordered algebra,  $\mathcal{F}$  (resp.  $\mathcal{G}$ ) is a set of maps from  $\mathbb{G}^n$  to  $\mathbb{G}$  for some natural number  $n$ , and for each map in  $\mathcal{F} \cup \mathcal{G}$  the corresponding adjoint/residual is also in  $\mathcal{F} \cup \mathcal{G}$  (where the maps in  $\mathcal{F}$  are left adjoints/residuals and the maps in  $\mathcal{G}$  are right adjoints/residuals);
- $(S_i, \leq_{S_i})$  is a partial order; we refer to  $\diamond_i, \blacksquare_i, \square_i, \blacklozenge_i$  as *structural control modalities*, they are unary *heterogeneous* (given their source and target do not coincide) modalities, namely such that  $\blacksquare_i : G \rightarrow S_i, \diamond_i : S_i \hookrightarrow G$  (where  $\diamond_i \dashv \blacksquare_i$ ), and  $\blacklozenge_i : G \rightarrow S_i, \square_i : S_i \hookrightarrow G$  (where  $\square_i \dashv \blacklozenge_i$ );
- the composition  $\blacksquare_i \diamond_i^c : G \rightarrow G$  (resp.  $\blacklozenge_i \square_i^m : G \rightarrow G$ ) defines a closure operator, (resp. an interior operator), and the compositions  $\blacksquare_i \diamond_i : S_i \rightarrow S_i$  and  $\blacklozenge_i \square_i : S_i \rightarrow S_i$  define identity on  $S_i$ .



$G$  is the set of *general* elements. The sort  $(S_i, \leq_{S_i})$  is a set of *special* elements that witness the (controlled) licence of structural rules (that by default would not be permitted). The structural control modalities identify special elements in the general regime/type modulo the composition of adjoint pairs. For instance, in the expanded signature of the Lambek calculus the postulate  $(x \otimes y) \otimes \diamond_a \alpha \leq_G x \otimes (y \otimes \diamond_a \alpha)$  represents a controlled form of left-to-right associativity. The  $x, y$  here are general elements,  $\otimes$  is the binary fusion operator of the Lambek calculus, and  $\diamond_a \alpha$  is the image of a special element  $\alpha$  which then *licenses* the restructuring.

In this talk the dependency modalities are *homogeneous* (as opposed to heterogeneous and given that their source and target coincide) primitive modalities defined in the general type  $\mathbb{G}$  (so, they are unary maps in  $\mathcal{F} \cup \mathcal{G}$ ). We will focus on the use of dependency modalities as means to block structural rules. Nonetheless, other design choices are also conceivable. We will also briefly expand on a few alternative design options, and we will discuss their pros and cons from the perspective of their use in linguistics.

Each and every design option falls under the scope of a general methodology that allow us to introduce multi-type proper display calculi enjoining canonical cut elimination. In particular, we observe that all the logical introduction rules are standard and reflect the minimal order-theoretic properties of the primitive operators, while the controlled linguistic resource management is explicitly captured by structural rules, so maintaining a neat division of labour that guarantees a modular treatment. At last, all the structural rules are automatically generated via the algorithm ALBA [9] (here generalized to a multi-type environment).

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