

One-sorted Program Algebras¹

I. Sedlár and J.J. Wannenburg

Institute of Computer Science of the Czech Academy of Sciences

LATD

September 2022



EUROPEAN UNION
European Structural and Investment Funds
Operational Programme Research,
Development and Education



¹This work was carried out within the project *Supporting the internationalization of the Institute of Computer Science of the Czech Academy of Sciences* (no. CZ.02.2.69/0.0/0.0/18_053/0017594), funded by the Operational Programme Research, Development and Education of the Ministry of Education, Youth and Sports of the Czech Republic. The project is co-funded by the EU.

Kleene algebra

Definition

A **Kleene algebra** [Koz94] is a structure $\mathcal{K} = (K, \vee, \cdot, *, 1, 0)$ such that

- $(K, \vee, \cdot, 1, 0)$ is an *idempotent semiring*, i.e.,
 - $(K, \cdot, 1)$ is a monoid,
 - $(K, \vee, 0)$ is an idempotent commutative monoid (hence, a join-semilattice),
 - $x(y \vee z) = xy \vee xz$, $(y \vee z)x = yx \vee zx$, and
 - $x0 = 0 = 0x$, and
- $*$: $K \rightarrow K$ such that

$$1 \vee a \vee a^*a^* \leq a^* \quad ax \leq x \Rightarrow a^*x \leq x \quad xa \leq x \Rightarrow xa^* \leq x$$

Examples: Kleene algebras of regular languages, Kleene algebra of paths...

Examples

Example

The **relational Kleene algebra** over a set X is $\mathcal{R}(X) = (2^{X \times X}, \cup, \circ, *, \text{id}, \emptyset)$;

- \circ denotes composition, and
- $R^* = \bigcup_{i \geq 0} R^i$, where $R^0 = \text{id}$ and $R^{i+1} = R \circ R^i$.

Example

The **tropical Kleene algebra** is defined over $0 > -1 > -2 > \dots > -\omega$, where

- $+$ is multiplication,
- 0 is the *multiplicative* unit, and
- $-\omega$ the \vee unit.

Kleene algebra with tests

Definition

A **Kleene algebra with tests** [Koz97] is $\mathcal{B} = (K, B, \vee, \cdot, *, 1, 0, \bar{})$ where

- $(K, \vee, \cdot, *, 1, 0)$ is a Kleene algebra
- $B \subseteq K$
- $(B, \vee, \cdot, \bar{}, 1, 0)$ is a Boolean algebra.

Prop. Every KA is a KAT, where the test subalgebra is $B = \{0, 1\}$.

Examples

Example

The **relational KAT** over a set X is $\mathcal{R}(X)$ together with the Boolean test subalgebra 2^{id} .

Prop. [KS97] The equational theory of KAT is identical with the equational theory of rKAT.

Example

The only possible test subalgebra of the **tropical Kleene algebra** is $\{-\omega, 0\}$.

Propositional while programs

Tests $\beta := \mathbf{b} \mid \bar{\beta} \mid \beta \wedge \beta \mid \beta \vee \beta$

Programs $\pi := \mathbf{skip} \mid p \mid \pi; \pi \mid \mathbf{if} \beta \mathbf{then} \pi \mathbf{else} \pi \mid \mathbf{while} \beta \mathbf{do} \pi$

In KAT:

$\mathbf{skip} := b \vee \bar{b}$

$\mathbf{if} \ b \ \mathbf{then} \ p \ \mathbf{else} \ q := (bp) \vee (\bar{b}q)$

$\mathbf{while} \ b \ \mathbf{do} \ p := (bp)^*\bar{b}$

Partial correctness: $bp = bpc.$

Kleene algebra with domain

Definition

A Kleene algebra with **domain** [DS11] is $\mathcal{D} = (K, \vee, \cdot, *, 1, 0, d)$ where $d : K \rightarrow K$ such that:

$$\begin{aligned}x &= d(x)x \\d(xy) &= d(xd(y)) \\d(x) &\leq 1 \\d(0) &= 0 \\d(x \vee y) &= d(x) \vee d(y)\end{aligned}$$

(Similarly **codomain** c with $x \leq xc(x)$ and $c(xy) = c(c(x)y)$.)

Prop. $(d(K), \vee, \cdot, 1, 0)$ and $(c(K), \vee, \cdot, 1, 0)$ are bounded distr. lattices.

Open Prob. When is $(d(K), \vee, \cdot, 1, 0)$ a Heyting algebra?

Example

Example

Extend a relational Kleene algebra with

$$d(R) = \{(s, s) \mid \exists t.(s, t) \in R\}.$$

Intuitively, $d(x)$ should be the **least left preserver** of x under 1:

$$\text{if } y \leq 1, \text{ then } x \leq yx \iff d(x) \leq y \quad (1)$$

The equational theory of **domain semirings** (delete $*$ and the corresponding axioms from KAD) coincides with the equational theory of relation algebras in the signature $(\cup, \circ, \emptyset, \text{id}, d)$ [McL20].

Open Prob. What about the full signature with $*$?

Kleene algebra with antidomain

Definition

A **Kleene algebra with antidomain** [DS11] is $\mathcal{A} = (K, \vee, \cdot, *, 1, 0, a)$ where $a : K \rightarrow K$ such that

$$\begin{aligned}a(x)x &= 0 \\ a(xy) &= a(xa^2(y)) \\ a^2(x) \vee a(x) &= 1\end{aligned}$$

A **domain operation** is then defined by $d(x) := a^2(x)$.

Prop. $(d(K), \vee, \cdot, 1, 0)$ is a **Boolean algebra** where $a(x)$ is the complement of $x \in d(K)$.

Thm. The domain subalgebra of a KAAD is the maximal Boolean subalgebra of the semiring of elements $x \leq 1$.

Kleene algebra with (anti)domain

Example

Take a relational Kleene algebra and define

$$a(R) = \{(s, s) \mid \neg \exists t. (s, t) \in R\},$$

then $a(a(R)) = d(R)$.

- The equational theory of relation algebras in the $(\circ, a, \emptyset, \text{id})$ signature has been finitely axiomatized by equations [Hol97]
- The equational theory of KAAD is EXPTIME [MS06]

Thm. The equational theory of KAAD with **-continuity* and *seperability* is EXPTIME-complete

Conj. The equational theory of KAAD coincides with that of rKAAD and the class above

Problem

However: Prop. Some finite KA cannot be extended with a domain operation.

\mathcal{A}_3	• 1	·	0	1	2	*	0	1	2
	• 2	0	0	0	0		1	1	1
	• 0	1	0	1	2				
	2	0	2	0					

The culprit is the **locality axiom** $d(xy) = d(xd(y))$.

Can one find a one-sorted alternative **ALT** to KAT that satisfies

- 1 ALT expands Kleene algebras by additional operations t and t' .
- 2 Every Kleene algebra extends to an ALT.
- 3 The test algebra $t(\mathcal{A})$ need not be the maximal Boolean subalgebra of elements $x \leq 1$.
- 4 The equational theory of KAT embeds into the equational theory of ALT.

One-sorted Kleene algebras with tests

Definition

A **KAt** is $\mathcal{K} = (K, \vee, \cdot, *, 1, 0, t, t')$ where $t, t' : K \rightarrow K$ such that

$$t(0) = 0 \quad (2)$$

$$t(1) = 1 \quad (3)$$

$$t(t(x) \vee t(y)) = t(x) \vee t(y) \quad (4)$$

$$t(t(x)t(y)) = t(x)t(y) \quad (5)$$

$$t(x)t(x) = t(x) \quad (6)$$

$$t(x) \leq 1 \quad (7)$$

$$1 \leq t'(t(x)) \vee t(x) \quad (8)$$

$$t'(t(x))t(x) \leq 0 \quad (9)$$

$$t'(t(x)) = t(t'(t(x))) \quad (10)$$

Examples

Example

Relational Kleene algebra with $t := d$ and $t' = a$.

Theorem 1

Every KAT $\mathcal{K} = (K, B, \vee, \cdot, *, 1, 0, \bar{})$ *expands* to a KAt $\mathcal{K} = (K, \vee, \cdot, *, 1, 0, t, t')$, i.e., $B = t(K)$.

In particular, we take

$$t(x) = \begin{cases} x & \text{if } x \in B \\ 1 & \text{otherwise.} \end{cases} \quad t'(x) = \begin{cases} \bar{x} & \text{if } x \in B \\ x & \text{otherwise.} \end{cases}$$

Prop. Every Kleene algebra extends to a KAt, so KAt is a conservative extension of KAT.

Embedding result

Theorem 2

We show that equational theory of KAT embeds into the equational theory of ALT provided that

- ALT expands Kleene algebras by additional operations t and t' .
- The test algebras $t(\mathcal{A})$ is a Boolean algebra for each ALT \mathcal{A} .
- Every KAT **expands** to an ALT.

Proof sketch.

$$\text{Tr}(\mathbf{p}_n) = x_{2n}, \text{Tr}(\mathbf{b}_n) = t(x_{2n+1}), \text{Tr}(\bar{b}) = t'(\text{Tr}(b));$$

Tr commutes with $1, 0, \cdot, \vee$ and $*$.

If KAT $\not\models p \approx q$, which give rise to an expansion. Conversely, each ALT induces a Boolean algebra of tests, and hence a KAT. □

Prop. The equational theory of KAT embeds into that of KAt.

So, KAt exhibits all the required properties (1-4).

Embedding result 2

Theorem 3

We show that equational theory of KAT embeds into the equational theory of ALT provided that

- ALT expands Kleene algebras by additional operations t and t' .
- The test algebras $t(\mathcal{A})$ is a Boolean algebra for each ALT \mathcal{A} .
- Every relational KAT **expands** to an ALT.

Proof sketch.

As before...

If KAT $\not\models p \approx q$, then $p \approx q$ fails in an rKAT, which gives rise to an expansion. Conversely, each ALT induces a Boolean algebra of tests, and hence a KAT. □

Prop. The equational theory of KAT embeds into that of KAD, but properties 2 and 3 fail.

strong KAt

Extending KAt with all of the following axioms retains properties (1-4)

$$t(x \vee y) = t(x) \vee t(y) \quad (11)$$

$$x \leq t(x)x \quad (12)$$

$$t(t(x)y) \leq t(x) \quad (13)$$

$$t(xy) \leq t(xt(y)) \quad (14)$$

- (11) entails that t is monotonic; (12) says that $t(x)$ is a left preserver of x ;
(13) entails that $t(x)$ is the *least* left preserver among tests;
(14) is called sublocality, and we can not add the reverse inequality.

One operation

Definition

In [AGS16] a **1KAT** is $\mathcal{K} = (K, \vee, \cdot, *, 1, 0, a)$ where $a : K \rightarrow K$ such that

$$a^2(1) = 1 \quad (15)$$

$$a^2(a^2(x) a^2(y)) = a^2(y) a^2(x) \quad (16)$$

$$a(x) a^2(x) = 0 \quad (17)$$

$$a(x) \vee a(y) = a(a^2(x) a^2(y)) \quad (18)$$

- A 1KAT is a KAT where $t' = a$ and $t = a^2$
- 1KAT satisfies the conditions of Theorem 2
- 1KAT has properties 1-4

Residuated program algebras

Definition

A **residuated KAt** $\mathcal{P} = (K, \vee, \cdot, \rightarrow, \leftarrow, *, 1, 0, t)$ is a strong KAt (ignoring the t' axioms) that is extended with residuals for \cdot satisfying

$$t(x \rightarrow y) \leq x \rightarrow xt(y) \quad (19)$$

$$1 \leq t(x) \vee (t(x) \rightarrow 0). \quad (20)$$

One can define $t'(x) := t(x \rightarrow 0)$, to obtain a KAt reduct.

Prop.: Every relational KAT expands to a residuated KAt, so the equational theory of KAT embeds into that of residuated KAT.

Another result: The substructural logic of partial correctness by Kozen and Tiuryn [KT03] embeds into $*$ -continuous residuated KAt expanded by e such that $t(x) \leq y \iff x \leq e(y)$.

References I



Alasdair Armstrong, Victor B. F. Gomes, and Georg Struth.
Building program construction and verification tools from algebraic principles.
Formal Aspects of Computing, 28(2):265–293, April 2016.



Jules Desharnais and Georg Struth.
Internal axioms for domain semirings.
Science of Computer Programming, 76(3):181–203, March 2011.



Marco Hollenberg.
An Equational Axiomatization of Dynamic Negation and Relational Composition.
Journal of Logic, Language and Information, 6(4):381–401, October 1997.



D. Kozen.
A Completeness Theorem for Kleene Algebras and the Algebra of Regular Events.
Information and Computation, 110(2):366–390, May 1994.



Dexter Kozen.
Kleene algebra with tests.
ACM Transactions on Programming Languages and Systems, 19(3):427–443, May 1997.



Dexter Kozen and Frederick Smith.
Kleene algebra with tests: Completeness and decidability.
In Gerhard Goos, Juris Hartmanis, Jan Leeuwen, Dirk Dalen, and Marc Bezem, editors, *Computer Science Logic*, volume 1258, pages 244–259. Springer Berlin Heidelberg, Berlin, Heidelberg, 1997.

References II



Dexter Kozen and Jerzy Tiuryn.

Substructural logic and partial correctness.

ACM Transactions on Computational Logic, 4(3):355–378, July 2003.



Brett McLean.

Free Kleene algebras with domain.

Journal of Logical and Algebraic Methods in Programming, 117:100606, December 2020.



Bernhard Möller and Georg Struth.

Algebras of modal operators and partial correctness.

Theoretical Computer Science, 351(2):221–239, February 2006.