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SOME CONSISTENCY RESULTS CONCERNING A THEORY OF PROPERTIES

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1. We present some consistent extensions of PI, a theory of partial properties and total operations. PI contains:

- a) classical logic, extended by the operators T(rue), F(false), which internalize strong 3-valued logic and allow to define an intensional equivalence relation \equiv ;
- b) a theory OP of total operations (essentially a type-free λ -calculus with a predicate N, which satisfies induction axioms and Peano axioms);
- c) the abstraction principle AP: $\forall u(u \in \{x:A\} \equiv A(u))$ (A arbitrary) ; for a survey of related theories, see [Fa].

In current literature we only find descriptions of the least inductive model L_0 of type-free theories, similar to PI, because L_0 is naturally linked to a predicative interpretation of the abstraction process. However, by lifting some ideas of Kripke's theory of truth ([K]) to PI, we can prove (details are given in [Ca]):

- 1.1. If M is a model of OP, there are 2^m non-isomorphic expansions of M ($m = \text{card}(M)$), which are models of PI;
- 1.2. the collection INT(M) of the expansions of M, which are intrinsic models of PI, form a complete non-distributive lattice of cardinality 2^m .

1.1-2 show that PI is essentially an abstract theory and the predicative interpretation is quite special. Below we list some candidates for enriching the structure of PI-properties and operations.

2. Consistency results concerning properties. Let $P(a) := a$ is a property; $Cl(a) := a$ is a total property or, in short, a is a class.

A.1. Cl-compactness: there is an operation ξ , which associates to each non-empty property b , a non-empty class $\xi b \subseteq b$.

A.2. Separation: there is an operation sep such that, if b, c are properties for which $\forall x(x \in b \vee x \in c)$ holds, then sep(b, c) is a class and sep(b, c) $\subseteq c$, $\forall x(\neg x \in b \rightarrow x \in \text{sep}(b, c))$ hold.

A.3. Exact Representation: there is an operation ER such that, if b, c are disjoint properties, then $b =_e ER(b, c)$ and $c =_e \neg ER(b, c)$ ($\neg d := \{x: \neg x \in d\}$; $a =_e b := a \subseteq b \wedge b \subseteq a$).

A.4. "Myhill-Shepherdson": if $F: P \rightarrow P$ is extensional, then $Fa =_e \bigcup \{Fc: c \subseteq a \wedge Cl(c)\}$, for every property a ; (F extensional: $a =_e b \rightarrow Fa =_e Fb$).

2.1. Theorem. PI+A.1-A.4 is a conservative extension of PI (which is equiconsistent with Peano Arithmetic).

Proof of 2.1: one pursues a recursion theoretic analysis of suitable inductive models of PI over possibly non-N-standard models of OP. The main tool is a uniform version of the ordinal comparison theorem for such structures. Incidentally, we note that the language of PI can be used for an alternative approach to generalized recursion theory.

A.5. Let $PWO(<)$ ($QWO(<)$) stand for " $<$ is a class encoding a linear ordering, which is well-founded with respect to classes (respectively properties)";

Axiom (β): $Cl(<) \wedge PWO(<) \rightarrow QWO(<)$;

Axiom ($\beta\varrho$): there is an operation Rc , which yields uniformly in the classes $b, <$ and the elementary condition A - the class $Rc(<, b, A)$, defined by transfinite recursion along $<$ by means of A and b .

2.2. Theorem. (i) PI+ N-induction for classes + ($\beta\varrho$) is equiconsistent with predicative analysis.

(ii) The same theory with ($\beta\varrho$) replaced by (β) contains $\Sigma_1^1\text{-BI}_0$.

Proof of 2.2.(i): by a series of proof-theoretic reductions which combine standard cut elimination with the techniques of [Cb].

A.6. It is worth mentioning that in PI the fixed point of the operation $\lambda v. \{x: A(x, v)\}$ exactly represents a fixed point (in set-theoretic sense) of the operator defined by $A(x, -)$, if A is positive elementary in the sense of [M]. Indeed, by OP and AP, we can find a property I_A such that

$$\forall u(u \in I_A \leftrightarrow A(u, I_A)).$$

One may wonder whether I_A actually represents the least fixed point of the operator induced by A .

2.3. Theorem. There are models of PI, in which, for every elementary positive operator A ,

- (i) I_A defines the \subseteq -least fixed point of A ;
- (ii) I_A defines the \subseteq -greatest fixed point of A ;
- (iii) it can be assumed that I_A is a class.

Proof of 2.3: by constructing suitable non-minimal

non intrinsic models of PI.

On the proof-theoretic side, let $\text{Clos}_A(B) := \forall x(A(x, B) \rightarrow B(x))$; WGI is the axiom schema: $\text{Pa} \wedge \text{Clos}_A(a) \rightarrow I_A \subseteq a$; GI is the schema: $\text{Clos}_A(B) \rightarrow \forall x(x \in I_A \rightarrow B(x))$, B arbitrary condition; PI^+ is PI with the schema of N-induction.

2.4. Theorem. (i) $\text{PI}^+ + \text{WGI} + \text{A.1-4}$ is strictly weaker than predicative analysis.

(ii) $\text{PI}^+ + \text{GI}$ is equiconsistent with ID_1 .

A.6. Finally, it is possible to prove the existence of PI-models, in which there is a class SET of classes, satisfying extensionality and (a sort of strengthened) impredicative second order comprehension (see [Cc]).

3. Consistency results concerning operations. There exist models of OP, plus each one of the following statements:

0.1. There is a surjective $f: N \rightarrow V$ (=universal class).

0.2. CT: every $f: N \rightarrow N$ is recursive.

0.3. Every $f: N^N \rightarrow N$ is continuous.

0.4. Every $f: N \rightarrow N$ is hyperarithmetical.

0.5. N-choice: $\forall x \in N \exists y A(x, y) \rightarrow \exists f \forall x \in N. A(x, fx)$

(A arbitrary).

0.1-3 hold in the recursive graph model RE (for 0.3 and refinements, see [B]); 0.4 is true in the "admissible" analogue of RE, made up with Π_1^1 -sets. 0.5 holds in $P\omega$ by applying the extension theorem of [S].

Recently Myhill and Flagg greatly improved 0.5 in the context of Frege structures by using D_ω . At present, we do not know if their results extend to PI.

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