## Baker-Beynon duality beyond semisimplicity

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# Part I

# A generalization of Baker-Beynon duality

# Baker-Beynon duality

## Definition

- An (abelian) ℓ-group is an abelian group A equipped with a lattice order such that a ≤ b implies a + c ≤ b + c for every a, b, c ∈ A.
- A Riesz space V is an  $\mathbb{R}$ -vector space equipped with a lattice order such that it is an  $\ell$ -group and  $0 \le r$  and  $0 \le v$  imply  $rv \ge 0$  for each  $r \in \mathbb{R}$  and  $v \in V$ .

 $\ell\text{-}\mathsf{groups}$  and Riesz spaces can be axiomatized by equations, and so they form varieties.

## Definition

- A map between *l*-groups is an *l*-group homomorphism if it is a group and a lattice homomorphism.
- An *l*-group homomorphism between Riesz spaces is a Riesz space homomorphism if it is a linear map.

## Examples of Riesz spaces

- $\mathbb{R}$
- $\mathbb{R}^X$  for a set X
- $\mathbb{R} \overrightarrow{\times} \mathbb{R}$  (lexicographic product)
- $C(X, \mathbb{R})$  for a topological space X
- $L^p(\mathbb{R}^n)$

## Examples of $\ell\text{-groups}$

- All the examples above
- Z
- $\mathbb{Z}^X$  for a set X
- $\mathbb{Z} \overrightarrow{\times} \mathbb{Z}$

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#### Definition

- A continuous function f: ℝ<sup>κ</sup> → ℝ is piecewise linear (homogeneous) if there exist g<sub>1</sub>,..., g<sub>n</sub>: ℝ<sup>κ</sup> → ℝ linear homogeneous functions (each in finitely many variables) such that for each x ∈ ℝ<sup>κ</sup> we have f(x) = g<sub>i</sub>(x) for some i = 1,..., n.
- We say that a piecewise linear function *f* has integer coefficients, if it is defined by *g*<sub>1</sub>,..., *g*<sub>n</sub> with integer coefficients.



#### Definition

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- We say that a piecewise linear function *f* has integer coefficients, if it is defined by  $g_1, \ldots, g_n$  with integer coefficients.



We denote by

- $\mathsf{PWL}(\mathbb{R}^{\kappa})$  the Riesz space of piecewise linear functions  $f : \mathbb{R}^{\kappa} \to \mathbb{R}$ ;
- PWL<sub>Z</sub>(ℝ<sup>κ</sup>) the ℓ-group of piecewise linear functions f: ℝ<sup>κ</sup> → ℝ with integer coefficients.

## Theorem (Baker 1968)

Let  $\kappa$  be a cardinal number.

- The free Riesz space on  $\kappa$  generators is isomorphic to  $PWL(\mathbb{R}^{\kappa})$ .
- The free  $\ell$ -group on  $\kappa$  generators is isomorphic to  $PWL_{\mathbb{Z}}(\mathbb{R}^{\kappa})$ .
- The element [t] of the free algebra correspond to the piecewise linear function that maps x ∈ ℝ<sup>κ</sup> to t(x) ∈ ℝ.
- The free generators of the free algebra correspond to the projections maps onto each coordinate.

If  $X \subseteq \mathbb{R}^{\kappa}$ , we denote

• 
$$\mathsf{PWL}(X) = \{f|_X \text{ with } f \in \mathsf{PWL}(\mathbb{R}^{\kappa})\},\$$

• 
$$\mathsf{PWL}_{\mathbb{Z}}(X) = \{f|_X \text{ with } f \in \mathsf{PWL}_{\mathbb{Z}}(\mathbb{R}^{\kappa})\}.$$

Which Riesz spaces ( $\ell$ -groups) are isomorphic to PWL(X) (PWL<sub>Z</sub>(X)) for some  $X \subseteq \mathbb{R}^{\kappa}$ ?

Congruences in  $\ell\text{-}\mathsf{groups}$  and Riesz spaces correspond to  $\ell\text{-}\mathsf{ideals}.$ 

#### Definition

An  $\ell$ -ideal in a Riesz space ( $\ell$ -group) is a subgroup I that is convex, i.e.  $|a| \leq |b|$  and  $b \in I$  imply  $a \in I$ .

*l*-ideals in Riesz spaces are automatically vector subspaces.

## Definition

- A proper  $\ell$ -ideal is called maximal if it is maximal wrt inclusion.
- A nontrivial Riesz space (*l*-group) *A* is simple if {0} and *A* are the only *l*-ideals of *A*.
- A Riesz space (*l*-group) is semisimple if the intersection of all its maximal *l*-ideals is {0}.

## Proposition

- An  $\ell$ -group is simple iff it embeds into  $\mathbb{R}$ .
- A Riesz space is simple iff it is isomorphic to  $\mathbb{R}$ .
- An ℓ-group or Riesz space is semisimple iff it can be embedded into a power of ℝ.

#### Examples

- $\mathbb{R} \xrightarrow{\times} \mathbb{R}$  and  $\mathbb{Z} \xrightarrow{\times} \mathbb{Z}$  with the lexicographic order are not semisimple (and hence not simple).
- $\mathbb R$  is simple as a  $\ell\text{-group}$  and as a Riesz space.
- $\mathbb{Z}$  and  $\mathbb{Q}$  are simple  $\ell$ -groups.
- $C(X, \mathbb{R})$  is semisimple for any topological space X.
- PWL(X) and  $PWL_{\mathbb{Z}}(X)$  are semisimple for any  $X \subseteq \mathbb{R}^{\kappa}$ .

## Theorem (Baker 1968)

- Every semisimple Riesz space is isomorphic to PWL(X) for some  $X \subseteq \mathbb{R}^{\kappa}$ .
- Every semisimple  $\ell$ -group is isomorphic to  $PWL_{\mathbb{Z}}(X)$  for some  $X \subseteq \mathbb{R}^{\kappa}$ .

## Theorem (Baker 1968)

- Every semisimple Riesz space is isomorphic to PWL(C) for some closed cone C ⊆ ℝ<sup>κ</sup>.
- Every semisimple ℓ-group is isomorphic to PWL<sub>Z</sub>(C) for some closed cone C ⊆ ℝ<sup>κ</sup>.

#### Definition

A nonempty subset  $C \subseteq \mathbb{R}^{\kappa}$  is a closed cone if it is closed under multiplication by nonnegative scalars and it is closed in  $\mathbb{R}^{\kappa}$  with the euclidean topology.





Let  $\mathscr{F}_{\kappa}$  be the free Riesz space ( $\ell$ -group) over  $\kappa$  generators. For any  $T \subseteq \mathscr{F}_{\kappa}$  and  $S \subseteq \mathbb{R}^{\kappa}$ , we define the following operators.

$$V(T) = \{ x \in \mathbb{R}^{\kappa} \mid t(x) = 0 \text{ for all } [t] \in T \}$$
$$I(S) = \{ [t] \in \mathscr{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S \}.$$

Galois connection

$$T \subseteq I(S)$$
 iff  $S \subseteq V(T)$ .

- V(T) is always a closed cone of  $\mathbb{R}^{\kappa}$ .
- I(S) is always an  $\ell$ -ideal of  $\mathscr{F}_{\kappa}$ .

What are the fixpoints of the Galois connection?

S = VI(S) iff S is a closed cone in  $\mathbb{R}^{\kappa}$ .

S is a fixpoint iff S = V(T) for some  $T \subseteq \mathscr{F}_{\kappa} \cong PWL(\mathbb{R}^{\kappa})$ . It can be shown that closed cones are exactly the vanishing sets of families of piecewise linear functions (with integer coefficients) on  $\mathbb{R}^{\kappa}$ .

T = IV(T) iff T is a  $\ell$ -ideal of  $\mathscr{F}_{\kappa}$  that is intersection of maximal  $\ell$ -ideals.

*T* is a fixpoint iff T = I(S) for some  $S \subseteq \mathbb{R}^{\kappa}$  iff  $T = \bigcap \{I(x) \mid x \in S\}$ . The proper  $\ell$ -ideals of the form I(x) for some  $x \in \mathbb{R}^{\kappa}$  are exactly the maximal ideals of  $\mathscr{F}_{\kappa}$  (follows from the characterization of simple algebras).

#### Proposition

The poset of  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$  that are intersections of maximal  $\ell$ -ideals is dually isomorphic to the poset of closed cones in  $\mathbb{R}^{\kappa}$ .

We can extend this dual isomorphism to a dual equivalence of categories between the category of semisimple Riesz spaces ( $\ell$ -groups) and the category of closed cones.

## On objects:

Let A be a semisimple Riesz space ( $\ell$ -group), then  $A \cong \mathscr{F}_{\kappa}/J$ , where J is an intersection of maximal  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$ . Then map

$$A \mapsto V(J),$$

where V(J) is a closed cone in  $\mathbb{R}^{\kappa}$ .

Let *C* be a closed cone in  $\mathbb{R}^{\kappa}$ . Then map

 $C \mapsto \mathsf{PWL}(C),$ 

which is semisimple and isomorphic to  $\mathscr{F}_{\kappa}/I(C)$ . (In the case of  $\ell$ -groups map C to  $PWL_{\mathbb{Z}}(C)$ .)

#### On morphisms:

Let  $h: A \to B$  be a Riesz space ( $\ell$ -group) homomorphism with  $A \cong \mathscr{F}_{\kappa}/J_A$  and  $B \cong \mathscr{F}_{\mu}/J_B$ . Then map

$$h \mapsto f_h$$

with  $f_h: V(J_B) \to V(J_A)$  the piecewise linear map whose  $i^{\text{th}}$  component is given by  $h([a_i]) \in \mathscr{F}_{\mu}/J_B$  where  $a_i$  is the  $i^{\text{th}}$  generator of  $\mathscr{F}_{\kappa}$ .

Let  $f: C \rightarrow D$  be a piecewise linear function (with integer coefficients) between closed cones. Then map

$$f \rightarrow h_f$$
,

where  $h_f$ : PWL(D)  $\rightarrow$  PWL(C) is given by  $h_f(g) = g \circ f$  (in the case of  $\ell$ -groups we have  $h_f$ : PWL<sub>Z</sub>(D)  $\rightarrow$  PWL<sub>Z</sub>(C)).

These functors yield the Baker-Beynon duality:

## Theorem (Beynon 1974)

- The category of semisimple Riesz spaces is dually equivalent to the category of closed cones in ℝ<sup>κ</sup> and piecewise linear maps with real coefficients.
- The category of semisimple ℓ-groups is dually equivalent to the category of closed cones in ℝ<sup>κ</sup> and piecewise linear maps with integer coefficients.

 $\mathbb{R}$  (as a Riesz space) is dual to the semiline  $\{x \in \mathbb{R} \mid x \ge 0\}$ .



 $\mathbb{R}$  (as a Riesz space) is dual to the semiline  $\{x \in \mathbb{R} \mid x \ge 0\}$ . Indeed,  $\mathbb{R} \cong PWL(\{x \in \mathbb{R} \mid x \ge 0\})$ .



 $\mathscr{F}_2/\langle (x-y) \wedge y \wedge 0 \rangle$  is dual to  $\{(x,y) \in \mathbb{R}^2 \mid 0 \le y \le x\}.$ 



# Generalizing Baker-Beynon duality beyond semisimplicity

In the definition of the operators

$$V(T) = \{x \in \mathbb{R}^{\kappa} \mid t(x) = 0 \text{ for all } [t] \in T\} \text{ with } T \subseteq \mathscr{F}_{\kappa}$$
$$I(S) = \{[t] \in \mathscr{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S\} \text{ with } S \subseteq \mathbb{R}^{\kappa}.$$

we can replace  $\mathbb{R}$  with any Riesz space ( $\ell$ -group) A and still get a Galois connection.

In the definition of the operators

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we can replace  $\mathbb{R}$  with any Riesz space ( $\ell$ -group) A and still get a Galois connection.

Caramello, Marra, and Spada (2021) observed that this can be done for any variety of algebras by replacing  $\mathbb{R}$  with any algebra in that variety. They also show that this approach also works in a more categorical setting.

Our goal is to replace  $\mathbb{R}$  with a Riesz space that guarantees more  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$  to be fixpoints of IV. In this way we extend Baker-Beynon duality beyond semisimple Riesz spaces and  $\ell$ -groups.

It is not possible to obtain a Riesz space ( $\ell$ -group) A such that for any  $\kappa$  the fixpoints of IV are all the  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$ . This is a consequence of the fact that there are subdirectly irreducible Riesz spaces ( $\ell$ -groups) of arbitrarily large cardinality.

However, if we fix a cardinal  $\alpha$ , we will see that we can find A such that for any  $\kappa < \alpha$  the fixpoints of IV are all the ideals of  $\mathscr{F}_{\kappa}$ .

We will see how this yields a duality for all Riesz spaces ( $\ell$ -groups) that are  $\kappa$ -generated (i.e. generated by a set of cardinality at most  $\kappa$ ) with  $\kappa < \alpha$ . In particular, we obtain a duality for all finitely generated Riesz spaces ( $\ell$ -groups) by taking  $\alpha = \omega$ .

We will replace maximal  $\ell$ -ideals with prime  $\ell$ -ideals.

#### Definition

An  $\ell$ -ideal I is prime if  $a \land b \in I$  implies  $a \in I$  or  $b \in I$ .

#### Theorem

- A/I is linearly ordered iff I is prime.
- Every  $\ell$ -ideal is intersection of prime  $\ell$ -ideals.
- Every Riesz space (*l*-group) is subdirect product of linearly ordered ones.

We fix a cardinal  $\alpha$  and we look for a Riesz space ( $\ell$ -group) A into which all the  $\kappa$ -generated with  $\kappa < \alpha$  linearly ordered Riesz spaces ( $\ell$ -groups) embed.

## Theorem (C., Lapenta, Spada)

Let  $\alpha$  be a cardinal. There exists an ultrapower  $\mathcal{U}$  of  $\mathbb{R}$  in which all  $\kappa$ -generated (with  $\kappa < \alpha$ ) linearly ordered Riesz spaces and  $\ell$ -groups embed.

## Proof sketch.

- The theory of nontrivial linearly ordered Riesz spaces is complete. So, each lin. ordered Riesz space A ≠ 0 is elementarily equivalent to ℝ.
- Thus, for any cardinal  $\beta$  there is an ultrapower of  $\mathbb{R}$  into which all the linearly ordered Riesz spaces of cardinality less than  $\beta$  embed.
- Since a Riesz space that is κ-generated has cardinality at most max(κ, 2<sup>ω</sup>), it is sufficient to take β = max(α, 2<sup>ω</sup>).

For  $\alpha = \omega$  we have can pick  $\mathcal{U}$  as follows:

#### Proposition

Let  $\mathcal{U}$  be any ultrapower of  $\mathbb{R}$  over a nonprincipal ultrafilter of a countably infinite set. Then every finitely generated linearly ordered Riesz space and  $\ell$ -group embeds into  $\mathcal{U}$ .

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Fix a cardinal  $\alpha$  and  $\mathcal{U}$  an ultrapower of  $\mathbb{R}$  in which all  $\kappa$ -generated with  $\kappa < \alpha$  linearly ordered Riesz spaces and  $\ell$ -groups embed.  $\kappa$  will denote an arbitrary cardinal smaller than  $\alpha$ .

We consider the operators:

$$\begin{split} \mathsf{V}(T) = & \{ x \in \mathcal{U}^{\kappa} \mid t(x) = 0 \text{ for all } [t] \in T \} \text{ with } T \subseteq \mathscr{F}_{\kappa} \\ \mathsf{I}(S) = & \{ [t] \in \mathscr{F}_{\kappa} \mid t(x) = 0 \text{ for all } x \in S \} \text{ with } S \subseteq \mathcal{U}^{\kappa}. \end{split}$$

Galois connection

$$T \subseteq I(S)$$
 iff  $S \subseteq V(T)$ .

- T = IV(T) iff T is an  $\ell$ -ideal of  $\mathscr{F}_{\kappa}$ .
- We call  $S \subseteq \mathcal{U}^{\kappa}$  such that S = VI(S) a generalized closed cone ( $\mathbb{Z}$ -generalized closed cone).

#### Proposition

The poset of  $\ell$ -ideals of  $\mathscr{F}_{\kappa}$  is dually isomorphic to the poset of generalized closed cones ( $\mathbb{Z}$ -generalized closed cones) in  $\mathcal{U}^{\kappa}$ .

#### Definition

- We say that a map U<sup>κ</sup> → U<sup>μ</sup> is definable (Z-definable) if its components are defined by terms in the language of Riesz spaces (ℓ-groups).
- If X ⊆ U<sup>κ</sup>, we denote by Def(X) and Def<sub>Z</sub>(X) the sets of definable maps and Z-definable maps f: X → U.

The functors  $A \cong \mathscr{F}_{\kappa} / J \mapsto V(J)$  and  $C \mapsto \mathsf{Def}(C) \cong \mathscr{F}_{\kappa} / I(C)$  induce:

## Theorem (C., Lapenta, Spada)

• The category of  $\kappa$ -generated Riesz spaces (with  $\kappa < \alpha$ ) is dually equivalent to the category of generalized closed cones in  $\mathcal{U}^{\kappa}$  (with  $\kappa < \alpha$ ) and definable maps.

#### Definition

- We say that a map U<sup>κ</sup> → U<sup>μ</sup> is definable (Z-definable) if its components are defined by terms in the language of Riesz spaces (ℓ-groups).
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- The category of  $\kappa$ -generated Riesz spaces (with  $\kappa < \alpha$ ) is dually equivalent to the category of generalized closed cones in  $\mathcal{U}^{\kappa}$  (with  $\kappa < \alpha$ ) and definable maps.
- The category of  $\kappa$ -generated  $\ell$ -groups (with  $\kappa < \alpha$ ) is dually equivalent to the category of  $\mathbb{Z}$ -generalized closed cones in  $\mathcal{U}^{\kappa}$  (with  $\kappa < \alpha$ ) and  $\mathbb{Z}$ -definable maps.

Consequences and applications of the duality

## Proposition

- The generalized closed cones in U<sup>κ</sup> (together with Ø) form the closed of a topology on U<sup>κ</sup>. The closure of a nonempty X ⊆ U<sup>κ</sup> is VI(X).

We obtain the following correspondences:

$\mathcal{F}_{\kappa}$	$\mathbb{R}^{\kappa}$	$\mathcal{U}^{\kappa}$
maximal $\ell$ -ideals	half-lines	closures of points of $\mathbb{R}^{\kappa}$
	from the origin	(except the origin)
intersections of	closed cones	closures of nonempty
maximal $\ell$ -ideals		subsets of $\mathbb{R}^{\kappa}$
prime $\ell$ -ideals		irreducible closed subsets
		${}={}$ closures of points of $\mathcal{U}^{\kappa}$
		(except the origin)
$\ell$ -ideals		generalized closed cones

If A is a Riesz space ( $\ell$ -group), then Spec(A) = {prime  $\ell$ -ideals of A} is called the spectrum of A and is naturally equipped with the Zariski topology generated by the closed subsets { $P \in \text{Spec}(A) \mid a \in P$ }, where a ranges in A.

If P is a prime  $\ell$ -ideal of  $\mathscr{F}_{\kappa}$ , then V(P) is the closure of a point of  $\mathcal{U}^{\kappa}$ . Choose one such point  $x_P \in \mathcal{U}^{\kappa}$  for each  $P \in \operatorname{Spec}(\mathscr{F}_{\kappa})$ . Let  $\mathscr{E}: \operatorname{Spec}(\mathscr{F}_{\kappa}) \to \mathcal{U}^{\kappa}$  be defined by  $\mathscr{E}(P) = x_P$ .

## Theorem (C., Lapenta, Spada)

• & is a topological embedding.

•  $\mathscr{E}^{-1}$  is a complete lattice isomorphism between  $Op(\mathcal{U}^{\kappa} \setminus \{O\})$  and  $Op(Spec(\mathscr{F}_{\kappa}))$ .

The spectrum of each Riesz space ( $\ell$ -group) is a generalized spectral space, i.e. it is  $T_0$ , sober, the compact open subsets form a basis, and the intersection of two compact opens is compact.

## Theorem (C., Lapenta, Spada)

 $\mathcal{U}^{\kappa} \setminus \{ O \}$  is a generalized spectral space.

 $\mathscr{E}: \operatorname{Spec}(\mathscr{F}_{\kappa}) \to \mathcal{U}^{\kappa}$  can be thought of as a coordinatization of  $\operatorname{Spec}(\mathscr{F}_{\kappa})$  with coordinates in  $\mathcal{U}$ .

By the correspondence theorem, if  $A \cong \mathscr{F}_{\kappa} / J$ , then we can think of  $\operatorname{Spec}(A)$  as a subspace of  $\operatorname{Spec}(\mathscr{F}_{\kappa})$ . So,  $\mathscr{E}$  restricts to an embedding of  $\operatorname{Spec}(A)$  into  $\mathcal{U}^{\kappa}$  whose image is  $\mathscr{E}[\operatorname{Spec}(\mathscr{F}_{\kappa})] \cap V(J)$ .

While the spectrum as a topological space is not sufficient to recover the original Riesz space, the coordinatization is enough:

## Theorem (C., Lapenta, Spada)

 $A \cong \mathsf{Def}(\mathscr{E}[\mathsf{Spec}(A)])$  for any Riesz space A.

An analogous result holds for  $\ell$ -groups.

In part II we will see how  $\mathscr{E}[\operatorname{Spec}(\mathscr{F}_{\kappa})]$  looks like when  $\kappa$  is finite.

Recall that a Riesz space ( $\ell$ -group) is semisimple if the intersection of all its maximal  $\ell$ -ideals is {0}.

## Theorem (C., Lapenta, Spada)

Let A be a Riesz space ( $\ell$ -group) and  $C \subseteq \mathcal{U}^{\kappa}$  its dual generalized closed cone ( $\mathbb{Z}$ -generalized closed cone). A is semisimple iff  $C = V I(C \cap \mathbb{R}^{\kappa})$ , i.e. C is the closure of  $C \cap \mathbb{R}^{\kappa}$  in  $\mathcal{U}^{\kappa}$ .

Note that  $C \cap \mathbb{R}^{\kappa}$  is the closed cone in  $\mathbb{R}^{\kappa}$  corresponding to A under Baker-Beynon duality.

Let A, B be two Riesz spaces ( $\ell$ -groups) dual to the generalized closed cones ( $\mathbb{Z}$ -generalized closed cones)  $C \subseteq U^{\kappa}$  and  $D \subseteq U^{\mu}$ .

#### Theorem

- The product  $A \times B$  is dual to  $(C \times \{O\}) \cup (\{O\} \times D) \subseteq \mathcal{U}^{\kappa+\mu}$ .
- The coproduct  $A \oplus B$  is dual to  $C \times D \subseteq \mathcal{U}^{\kappa+\mu}$ .
- The lexicographic product  $\mathbb{R} \overrightarrow{\times} B$  ( $\mathbb{Z} \overrightarrow{\times} B$  in the case of  $\ell$ -groups) is dual to

 $\{(x, y) \in \mathcal{U} \times D \mid 0 < x, y/x \text{ has all infinitesimal coordinates}\} \cup \{O\}.$
# Part II

### Using non-standard tools

From now on we will assume  $\alpha = \omega$ .

Let also assume that  $\mathcal{U}$  is an ultrapower of  $\mathbb{R}$  defined as  $\mathcal{U} = \mathbb{R}^{\mathbb{N}}/\mathcal{F}$  with  $\mathcal{F}$  a nonprincipal ultrafilter of  $\mathcal{P}(\mathbb{N})$ .

We have seen that  ${\cal U}$  induces dualities for finitely generated Riesz spaces and  $\ell\mbox{-}groups.$ 

#### Theorem

- The category of all finitely generated Riesz spaces is dually equivalent to the category of generalized closed cones in U<sup>n</sup> (with n ∈ N).
- The category of all finitely generated ℓ-groups is dually equivalent to the category of Z-generalized closed cones in U<sup>n</sup> (with n ∈ N).

It follows from Łoś's theorem that the algebraic structure of  ${\mathbb R}$  lifts to  ${\mathcal U}:$ 

#### Proposition

- *U* is a linearly ordered field.
- $\mathcal{U}^n$  is a  $\mathcal{U}$ -vector space.

The elements of  $\mathcal{U}$  are equivalence classes  $[(r_i)_{i \in \mathbb{N}}]$  of  $\mathbb{N}$ -indexed sequences  $(r_i)_{i \in \mathbb{N}}$  of real numbers. Where

$$(r_i)_{i\in\mathbb{N}}\sim (s_i)_{i\in\mathbb{N}}$$
 iff  $\{i\in\mathbb{N}\mid r_i=s_i\}\in\mathscr{F}$ .

We identify each  $r \in \mathbb{R}$  with  $[(r_i)_{i \in \mathbb{N}}] \in \mathcal{U}$  such that  $r_i = r$  for all  $i \in \mathbb{N}$ .

#### Proposition

- $\mathbb{R}$  embeds into  $\mathcal{U}$  as a sub-lattice-ordered field.
- $\mathcal{U}^n$  is an  $\mathbb{R}$ -vector space containing  $\mathbb{R}^n$  as a vector subspace.

We will identify  $\mathbb{R}$  and  $\mathbb{R}^n$  with their isomorphic copies in  $\mathcal{U}$  and  $\mathcal{U}^n$ .

### Some notions from non-standard analysis

As it is common in non-standard analysis, we call the elements of  $\mathcal{U}$  hyperreal numbers. Among the hyperreal numbers we have:

real numbers

$$[(1,1,1,\ldots)], \quad \left[\left(\frac{15}{7},\frac{15}{7},\frac{15}{7},\ldots\right)\right], \quad [(\pi,\pi,\pi,\ldots)],\ldots$$

• infinitesimal numbers (absolute value smaller than any  $0 < r \in \mathbb{R}$ )

$$\left[\left(1,\frac{1}{2},\frac{1}{3},\ldots\right)\right],\quad \left[\left(1,\frac{1}{2^2},\frac{1}{3^2},\ldots\right)\right],\quad \left[\left(1,\frac{1}{2^2},\frac{1}{2^3},\ldots\right)\right],\ldots$$

• unlimited numbers (absolute value greater than any  $r \in \mathbb{R}$ )

$$[(1, 2, 3, \dots)], [(1, 2^2, 3^2, \dots)], [(1, 2^2, 2^3, \dots)], \dots$$

• limited numbers (not limited, i.e. between -r and r for some  $r \in \mathbb{R}$ )

$$[(1,1,1,\ldots)], \quad \left[\left(1,\frac{1}{2},\frac{1}{3},\ldots\right)\right], \quad \left[\left(\frac{1}{2},\frac{2}{3},\frac{3}{4},\ldots,1-\frac{1}{n},\ldots\right)\right],\ldots$$

The operations behave like the limits in analysis:

- limited + limited = limited, unlimited + limited = unlimited, ....
- limited  $\times$  limited = limited, unlimited  $\times$  infinitesimal = ?, ....

#### Definition

• If  $A \subseteq \mathbb{R}^n$ , its enlargement  ${}^*\!A \subseteq \mathcal{U}^n$  is defined as follows:

 $\left([(r_i^1)],\ldots,[(r_i^n)]\right)\in{}^*\!A$  if and only if  $\{i\in\mathbb{N}\mid (r_i^1,\ldots,r_i^n)\in A\}\in\mathcal{F}.$ 

• If  $A \subseteq \mathbb{R}^n$  and  $f : A \to \mathbb{R}$ , then the enlargement  ${}^*f : {}^*A \to \mathcal{U}$  of f is given by

$${}^{*}f([(r_{i}^{1})],\ldots,[(r_{i}^{n})]) := [(f(r_{i}^{1},\ldots,r_{i}^{n}))].$$

#### Proposition

- $A \subseteq {}^*A$ .
- If A is finite, then  $A = {}^{*}A$ .
- If A is infinite, then \*A must contain some elements of  $\mathcal{U}^n$  outside  $\mathbb{R}^n$ .

For example,  $\mathbb{N}$  contains the unlimited element [(1, 2, 3, ...)].

Let  $\mathscr{L}$  be a first-order language and  $(\mathbb{R}, (P_{\alpha}), (f_{\alpha})))$  an  $\mathscr{L}$ -structure, where the  $P_{\alpha}$ 's and  $f_{\alpha}$ 's are the interpretations of the predicate and function symbols of  $\mathscr{L}$  in  $\mathbb{R}$ . Then  $(\mathcal{U}, (*P_{\alpha}), (*f_{\alpha})))$  is also an  $\mathscr{L}$ -structure.

#### Theorem (Transfer principle)

Let  $\varphi$  be a first-order  $\mathscr{L}$ -sentence. Then  $\varphi$  is true in  $(\mathbb{R}, (P_{\alpha}), (f_{\alpha}))$  if and only  $\varphi$  is true in  $(\mathcal{U}, (*P_{\alpha}), (*f_{\alpha}))$ .

In other words, a first-order condition holds in  $\mathbb R$  iff the condition obtained by replacing all the relations and functions with their enlargements holds in  $\mathcal U$ . (For simplicity of notation, we just write + instead of \*+ and similarly for the other lattice-ordered field operations.)

This allows to transfer first-order properties of functions and subsets from  $\mathbb{R}^n$  to  $\mathcal{U}^n$  and back.

Let  $S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$  be the unit circle in  $\mathbb{R}^2$ . Since

$$\forall x, y ((x, y) \in S^1 \Leftrightarrow x^2 + y^2 = 1)$$

is a first-order condition that holds in  $\mathbb{R}$ , then

$$\forall x, y ((x, y) \in {}^*(S^1) \Leftrightarrow x^2 + y^2 = 1)$$

holds in  $\mathcal U$  by transfer. So,  ${}^*(S^1) = \{(x, y) \in \mathcal U^2 \mid x^2 + y^2 = 1\}.$ 

It is easy to get a geometric intuition of the enlargements of subsets of  $\mathbb{R}^n$  defined by first-order sentences.



If 
$$0 < \varepsilon \in \mathcal{U}$$
 is infinitesimal, then  $x = \left(\frac{1}{\sqrt{1+\varepsilon^2}}, \frac{\varepsilon}{\sqrt{1+\varepsilon^2}}\right) \in {}^*(S^1) \setminus S^1.$ 

If 
$$0 < \varepsilon \in \mathcal{U}$$
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 is infinitesimal, then  $x = \left(\frac{1}{\sqrt{1 + \varepsilon^2}}, \frac{\varepsilon}{\sqrt{1 + \varepsilon^2}}\right) \in {}^*(S^1) \setminus S^1$ .



If  $f : \mathbb{R}^n \to \mathbb{R}$  is a function, then the graph of  ${}^*f : \mathcal{U}^n \to \mathcal{U}$  is just the enlargement of the graph of f.



The enlargement of f can be used to compute limits. For example,

 $\lim_{x\to 0} f(x) = 0 \iff {}^*f(x) \text{ infinitesimal for all } x \text{ infinitesimal.}$ 

### Definable maps and piecewise linear functions

Let  $g: \mathcal{U}^n \to \mathcal{U}$  be definable, i.e. there is a term t such that g(x) = t(x) for all  $x \in \mathcal{U}^n$ .

If  $f : \mathbb{R}^n \to \mathbb{R}$  is the piecewise linear function defined by the same term, i.e. f(x) = t(x) for all  $x \in \mathbb{R}^n$ , then the transfer principle yields

$$\forall x \in \mathbb{R}^n(f(x) = t(x)) \quad \text{iff} \quad \forall x \in \mathcal{U}^n(^*f(x) = t(x)).$$

Thus,  $g = {}^{*}f$ , and so g is the enlargement of a piecewise linear function.

#### Proposition

Let  $C \subseteq \mathcal{U}^n$  be a generalized closed cone. Then  $Def(C) = \{({}^*f)_{|C} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ piecewise linear}\}.$ 



Definable functions naturally generalize piecewise linear functions.

Let  $\mathbb{R} \overrightarrow{\times} \mathbb{R}$ . Then its dual generalized closed cone is

 $\mathcal{C} = \{(x, y) \in \mathcal{U}^2 \mid x > 0, y \ge 0, \text{ and } y/x \text{ is infinitesimal}\} \cup \{(0, 0)\}.$ 



So,

$$\begin{split} \mathbb{R} \overrightarrow{\times} \mathbb{R} &\cong \mathsf{Def}(\mathcal{C}) = \{({}^*\!f)_{|\mathcal{C}} \mid f \colon \mathbb{R}^2 \to \mathbb{R} \text{ piecewise linear} \} \\ &= \{({}^*\!f)_{|\mathcal{C}} \mid f \colon \mathbb{R}^2 \to \mathbb{R} \text{ linear} \}. \end{split}$$

### Indexes and irreducible closed subsets

Recall from part I that f.g. linearly ordered Riesz spaces correspond to the irreducible closed subsets of  $\mathcal{U}^n$ , i.e. the closures of the points of  $\mathcal{U}^n$ .

We want to understand how these subsets of  $U^n$  look like (for simplicity we only consider the case of Riesz spaces).

#### Theorem (Orthogonal decomposition)

If  $x \in U^n$ , then  $x = \alpha_1 v_1 + \cdots + \alpha_k v_k$  where  $\alpha_1, \ldots, \alpha_k \in U$  are positive,  $\alpha_{i+1}/\alpha_i$  is infinitesimal for each i < k, and  $v_1, \ldots, v_k \in \mathbb{R}^n$  are orthonormal vectors. Furthermore, this decomposition is unique.

#### Definition

- We call a finite sequence (v<sub>1</sub>,..., v<sub>k</sub>) of orthonormal vectors in ℝ<sup>n</sup> an index.
- We denote by *ι*(*x*) the index (*v*<sub>1</sub>,..., *v<sub>k</sub>*) made of the vectors appearing in the orthogonal decomposition of *x* ∈ U<sup>n</sup>.
- Let  $\mathbf{v}, \mathbf{w}$  be two indexes. We write  $\mathbf{v} \leq \mathbf{w}$  when  $\mathbf{v}$  is a truncation of  $\mathbf{w}$ , i.e.  $\mathbf{v} = (v_1, \dots, v_h)$  and  $\mathbf{w} = (v_1, \dots, v_k)$  for  $h \leq k$ .

#### Definition

### If **v** is an index, let $Cone(\mathbf{v}) \coloneqq \{y \in \mathcal{U}^n \mid \iota(y) \le \mathbf{v}\}$

#### Theorem (C., Lapenta, Spada)

The closure of x in  $\mathcal{U}^n$  is  $Cone(\iota(x))$ .

The proof uses the fact that if  $f : \mathbb{R}^n \to \mathbb{R}$  is a linear function and  $x \in \mathcal{U}^n$  with  $\iota(x) = (v_1, \ldots, v_k)$ , then the sign of  ${}^*f(x)$  is determined by the real numbers  $f(v_1), \ldots, f(v_k)$ .

#### Corollary

If  $x \in \mathcal{U}^n$ , then

$$\mathsf{Def}(\mathsf{Cone}(\iota(x))) \cong \{^*f(x) \in \mathcal{U} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ piecewise linear} \}$$
$$= \{^*f(x) \in \mathcal{U} \mid f : \mathbb{R}^n \to \mathbb{R} \text{ linear} \}.$$

Let  $x = (1,0) \in \mathcal{U}^2$ . Then  $\iota(x) = (v_1)$  with  $v_1 = (1,0)$ . We have  $y \in \text{Cone}(\iota(x))$  iff  $y = \alpha_1(1,0)$  with  $0 \le \alpha_1 \in \mathcal{U}$ .

Thus, the closure of x in  $\mathcal{U}^2$  is  $\{(\alpha_1, 0) \mid 0 \leq \alpha_1 \in \mathcal{U}\}$ , which is the enlargement of the positive x-semiaxis.



The dual Riesz space is  $\mathbb{R}$ . Indeed,

$$\mathsf{Def}(\mathsf{Cone}(\iota(x)))\cong \{^*f(1,0)\mid f\colon \mathbb{R}^2 o\mathbb{R}\; \mathsf{linear}\}\cong\mathbb{R}$$

Let  $\varepsilon \in \mathcal{U}$  be a positive infinitesimal and  $x = (1, \varepsilon)$ . Then

$$x=1(1,0)+\varepsilon(0,1)$$

is the orthogonal decomposition of x. Thus,  $\iota(x) = (v_1, v_2)$  with  $v_1 = (1, 0)$  and  $v_2 = (0, 1)$ . We have

$$y \in \text{Cone}(\iota(x))$$
 iff  $y = O$ , or  
 $y = \alpha_1(1,0)$  (orthogonal decomposition), or  
 $y = \alpha_1(1,0) + \alpha_2(0,1)$  (orthogonal decomposition)

Then Cone( $\iota(x)$ ), i.e. the closure of x in  $\mathcal{U}^2$  is

 $\{(\alpha_1,\alpha_2)\in \mathcal{U}^2\mid \alpha_1>0,\ \alpha_2\geq 0 \text{ and } \alpha_2/\alpha_1 \text{ is infinitesimal}\}\cup \{\mathcal{O}\}.$ 

•
$$x = (1, \varepsilon)$$

The dual Riesz space is  $\mathbb{R} \overrightarrow{\times} \mathbb{R}$ . Indeed,

$$\mathsf{Def}(\mathsf{Cone}(\iota(x))) \cong \{ {}^*f(1,\varepsilon) \mid f : \mathbb{R}^n \to \mathbb{R} \text{ linear} \} \\ = \{ a + b\varepsilon \in \mathcal{U} \mid a, b \in \mathbb{R} \} \cong \mathbb{R} \xrightarrow{\prec} \mathbb{R}.$$

#### Theorem (C., Lapenta, Spada)

The mapping Cone:  $\mathbf{v} \mapsto \text{Cone}(\mathbf{v})$  induces an order-isomorphism between the set of indexes ordered by truncation and the set of irreducible closed subsets of  $\mathcal{U}^n$  ordered by inclusion.

#### Corollary

 $I \circ Cone: \mathbf{v} \mapsto I(Cone(\mathbf{v}))$  induces an order-isomorphism between the set of nonempty indexes ordered by truncation and  $Spec(\mathscr{F}_n)$  ordered by reverse inclusion.

That nonempty indexes correspond to prime ideals of  $\mathscr{F}_n$  was proved by Panti (1999) using different techniques.

### Embedding $\operatorname{Spec}(\mathscr{F}_n)$ into $\mathcal{U}^n$

Recall from part I: if we choose for each irreducible closed subset  $C \subseteq \mathcal{U}^n \setminus \{O\}$  a point  $x \in \mathcal{U}^n$  such that C is the closure of x, then we can define an embedding  $\mathscr{E}$ :  $\operatorname{Spec}(\mathscr{F}_n) \to \mathcal{U}^n$ .

Indexes allow us to choose x for every C in a canonical way. Fix a positive infinitesimal  $\varepsilon \in \mathcal{U}$ . If  $C = \text{Cone}(\mathbf{v})$  is an irreducible closed with  $\mathbf{v} = (v_1, \ldots, v_k)$ , then we pick  $x \in \text{Cone}(\mathbf{v})$  defined as

$$x = v_1 + \varepsilon v_2 + \dots + \varepsilon^{k-1} v_k.$$

Since  $\mathbf{v} = \iota(x)$ , we have that Cone( $\mathbf{v}$ ) is the closure of x.

Therefore, we obtain an embedding  $\mathscr{E}$ : Spec $(\mathscr{F}_n) \to \mathcal{U}^n$  that maps a prime ideal  $P = I(\text{Cone}(\mathbf{v}))$  to the point  $v_1 + \varepsilon v_2 + \cdots + \varepsilon^{k-1} v_k$ .

We have  $\mathscr{E}[\operatorname{Spec}(\mathscr{F}_1)] = \{-1,1\} \subseteq \mathcal{U}.$ 



Note that  $\operatorname{Spec}(\mathscr{F}_1) = \operatorname{Max}\operatorname{Spec}(\mathscr{F}_1)$ .

We have  $\mathscr{E}[\operatorname{MaxSpec}(\mathscr{F}_2)] = S^1 \subseteq \mathbb{R}^2 \subseteq \mathcal{U}^2$ .











 $\operatorname{Spec}(\mathscr{F}_3)$ 

We have  $\mathscr{E}[\mathtt{MaxSpec}(\mathscr{F}_3)] = S^2 \subseteq \mathbb{R}^3 \subseteq \mathcal{U}^3.$ 









### Bonus slides

### Characterization of prime ideals in $\mathscr{F}_n$
We have seen that  $I \circ Cone$  induces an order-isomorphism between indexes and prime ideals of  $\mathscr{F}_n$ . Recall that  $\mathscr{F}_n \cong PWL(\mathbb{R}^n)$ 

 $\mathsf{I}(\mathsf{Cone}(\mathbf{v}))$  correspond to the prime ideal of  $\mathsf{PWL}(\mathbb{R}^n)$  given by

```
\{f \in \mathsf{PWL}(\mathbb{R}^n) \mid *f \text{ vanishes on } \mathsf{Cone}(\mathbf{v})\}.
```

Is there a way to avoid mentioning the enlargement?

### Definition

Let  $\mathbf{v} = (v_1, \dots, v_k)$  be an index. We say that a closed cone  $C \subseteq \mathbb{R}^n$  is a **v**-cone if there exist real numbers  $0 < r_1, \dots, r_k \in \mathbb{R}$  such that C is the positive span of

$$\{r_1v_1, r_1v_1 + r_2v_2, \ldots, r_1v_1 + \cdots + r_kv_k\}.$$

### Theorem (C., Lapenta, Spada)

 $Cone(\mathbf{v})$  is the intersection of the enlargements of all  $\mathbf{v}$ -cones.

Let 
$$\mathbf{v} = (v_1, v_2)$$
 with  $v_1 = (1, 0)$  and  $v_2 = (0, 1)$ .











 $\bigcap \{ C \mid C \text{ is a } \mathbf{v}\text{-cone} \}$  is the positive *x*-semiaxis.

Let 
$$\mathbf{v} = (v_1, v_2)$$
 with  $v_1 = (1, 0)$  and  $v_2 = (0, 1)$ .



Let 
$$\mathbf{v} = (v_1, v_2)$$
 with  $v_1 = (1, 0)$  and  $v_2 = (0, 1)$ .









# Theorem (C., Lapenta, Spada)

\*f vanishes on  $Cone(\mathbf{v})$  iff f vanishes on a **v**-cone.

## Proof sketch.

• By transfer, if f vanishes on a **v**-cone C, then \*f vanishes on \*C. So, \*f vanishes on Cone(**v**) because Cone(**v**)  $\subseteq *C$ .



# Theorem (C., Lapenta, Spada)

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## Proof sketch.

- By transfer, if f vanishes on a **v**-cone C, then \*f vanishes on \*C. So, \*f vanishes on Cone(**v**) because Cone(**v**)  $\subseteq *C$ .
- If \*f vanishes on Cone(**v**), then there are  $0 < \alpha_1, \ldots, \alpha_k \in \mathcal{U}$  such that the positive span S of  $\{\alpha_1 v_1, \ldots, \alpha_1 v_1 + \cdots + \alpha_k v_k\}$ , is contained in Cone(**v**).



# Theorem (C., Lapenta, Spada)

\*f vanishes on  $Cone(\mathbf{v})$  iff f vanishes on a **v**-cone.

## Proof sketch.

- By transfer, if f vanishes on a **v**-cone C, then \*f vanishes on \*C. So, \*f vanishes on Cone(**v**) because Cone(**v**)  $\subseteq *C$ .
- If \*f vanishes on Cone(v), then there are 0 < α<sub>1</sub>,..., α<sub>k</sub> ∈ U such that the positive span S of {α<sub>1</sub>v<sub>1</sub>, ..., α<sub>1</sub>v<sub>1</sub> + ··· + α<sub>k</sub>v<sub>k</sub>}, is contained in Cone(v).
- Thus, \*f vanishes on S. By the transfer principle, there are  $0 < r_1, \ldots, r_k \in \mathbb{R}$  such that f vanishes on the positive span of  $\{r_1v_1, \ldots, r_1v_1 + \cdots + r_kv_k\}$ , which is a **v**-cone.

We obtain the characterization of prime ideals of  $\mathscr{F}_n$  due to Panti (1999).

### Corollary

 $I(Cone(\mathbf{v})) = \{ f \in PWL(\mathbb{R}^n) \mid f \text{ vanishes on a } \mathbf{v}\text{-cone} \}.$ 

# Additional slides

### Theorem

Let  $\alpha \leq 2^{\omega}$  be a cardinal. There exists an ultrapower  $\mathcal{U}$  of  $\mathbb{R}$  such that every linearly ordered Riesz space an  $\ell$ -groups of cardinality less than  $\alpha$  embeds  $\mathcal{U}$ .

## Proof.

- All nontrivial linearly ordered Riesz spaces are elementarily equivalent: their theory has quantifier elimination, and hence it is model complete. Since ℝ embeds into every non-trivial Riesz space, the theory of linearly ordered Riesz Spaces is complete because it is model complete and has an algebraically prime model.
- By a model-theoretic fact any  $\alpha$ -regular ultrapower  $\mathcal{U}$  of  $\mathbb{R}$  is such that all linearly ordered groups of cardinality less or equal to  $\alpha$  embed into  $\mathcal{U}$ .
- Since  $\alpha \leq 2^{\omega}$  (the cardinality of the language of Riesz spaces), another model theoretic fact tells us that every  $\ell$ -group of cardinality less than  $\alpha$  embeds into  $\mathcal{U}$ .

## Definition (Panti (1999))

- We call a subspace of ℝ<sup>n</sup> rational if it admits a basis made of vectors from ℚ<sup>n</sup>.
- If S ⊆ ℝ<sup>n</sup>, then its rational envelope (S) denotes the smallest rational subspace of ℝ<sup>n</sup> containing S
- We say that an index  $\mathbf{v} = (v_1, \dots, v_k)$  is  $\mathbb{Z}$ -reduced if  $v_i \in \langle v_j \rangle^{\perp}$  for any  $i \neq j$ .

Given an index **v** there is a canonical way to associate a  $\mathbb{Z}$ -reduced index red(**v**).

### Theorem (C., Lapenta, Spada)

- The closure of x in U<sup>n</sup> with the topology of the Z-generalized closed cones is ∪{Cone(v) | red(v) ≤ red(ι(x))}.
- There is an order isomorphism between Z-reduced indexes and irreducible closed subsets of U<sup>n</sup> with the topology of the Z-generalized closed cones.

### Definition

Let A be a Riesz space ( $\ell$ -group) and  $0 < a \in A$ .

- *a* is a strong order-unit if for each  $b \in A$  there exists  $n \in \mathbb{N}$  such that  $b \leq na$ .
- a is a weak order-unit of A if  $a \wedge |b| = 0$  implies b = 0 for each  $b \in A$ .

#### Theorem

Let A be a nontrivial Riesz space ( $\ell$ -group) and  $C \subseteq U^{\kappa}$  its dual generalized closed cone ( $\mathbb{Z}$ -generalized closed cone).

- A has a strong order-unit iff  $C \setminus \{O\}$  is compact.
- A has a weak order-unit iff  $C \setminus \{O\}$  contains a dense compact open subset.

For any natural number n let  $\pi_n : \mathcal{U}^{\omega} \to \mathcal{U}^{n+1}$  be the map that sends  $(x_i)_{i \in \omega}$  to  $(x_0, x_1, \ldots, x_n)$ .

### Theorem (C., Lapenta, Spada)

Let A be an  $\omega$ -generated Riesz space ( $\ell$ -group) and  $C \subseteq U^{\omega}$  its dual generalized closed cone ( $\mathbb{Z}$ -generalized closed cone). Then A is archimedean iff

$$C = \bigcap_{n=0}^{\infty} \pi_n^{-1} [\mathsf{V} \mathsf{I}(\mathsf{V} \mathsf{I}(\pi_n[C]) \cap \mathbb{R}^{n+1})],$$

where the subsets  $\pi_n^{-1}[V | (V | (\pi_n[C]) \cap \mathbb{R}^{n+1})]$  form a decreasing sequence of generalized closed cones in  $\mathcal{U}^{\omega}$ .

When  $\kappa > \omega$ , the decreasing sequence is substituted by a downdirected family of generalized closed cones in  $\mathcal{U}^{\kappa}$ .